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UNITED STATES PATENT APPLICATION

FOR

## PROTECTED PLATFORM IDENTITY FOR DIGITAL SIGNING

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1. FIELD

5            This invention relates to microprocessor. In particular, the invention  
relates to microprocessor cryptography.

## 2. GENERAL BACKGROUND

Advances in microprocessor and communication technologies have opened up many opportunities for applications that go beyond the traditional ways of doing business. Electronic commerce (E-commerce) and business-to-business (B2B) transactions are now becoming popular, reaching the global markets at a fast rate. Unfortunately, while modern microprocessor systems provide users convenient and efficient methods of doing business, communicating and transacting, they are also vulnerable to unscrupulous attacks. Examples of these attacks include virus, intrusion, security breach, and tampering. Computer security, therefore, is becoming more and more important to protect the integrity of the computer systems and increase the trust of users.

20 Threats caused by unscrupulous attacks may be in a number of forms such as passive wiretapping (eavesdropping), e.g., interception of messages, usually without detection, and active wiretapping (tampering), e.g., deliberate modification made to the message stream, which threatens authenticity. An invasive remote-launched attack by attackers may disrupt the normal operation

Various efforts in the computer industry have been directed at improving security in computer processing environments. These efforts have been focused on various issues concerning data security including privacy, authentication, integrity, and non-repudiation, etc. Security solutions have been developed by various entities and companies in dealing with these basic security issues. In cases where software or other digital content is licensed to be used only on a specific system (e.g., platform) it is common to have the platform sign a unique message supplied by the content provider to "prove" that the platform identity matches the platform identity authorized to use the digital content. In cases where a platform originates a message or data it is common to have the platform sign the message or data to prove that the message or data originated from that platform.

15           The digital signature in these cases is produced using a private key.

Ideally, the private key is kept secretly inside a platform (i.e., first platform) so that unauthorized users (e.g., intruders, attackers, forgers) cannot find out what it is. Unfortunately, signatures can be forged because if the authorized users can write code to use the private key, then the unauthorized users can also

20       write code to read the private key and install it on another platform, allowing that other platform to impersonate the identity of the first platform.

Therefore, there is a need to have a technique to sign data with a high degree of resistance to attack that provides protection against a second

platform impersonating a first platform even if the attacker has access to the first platform.

### BRIEF DESCRIPTION OF THE DRAWINGS

5           The features and advantages of the present invention will become apparent from the following detailed description of the present invention in which:

10           Figure 1A is a diagram illustrating a block diagram of a protected authentication environment according to one embodiment of the invention

          Figure 1B is a diagram illustrating a platform in which one embodiment of the invention can be practiced.

15           Figure 2 is a diagram illustrating a block diagram of the digital signature system shown in Figure 1A according to one embodiment of the invention.

20           Figure 3 is a diagram illustrating a block diagram of the protected authentication digital generator shown in Figure 2 according to one embodiment of the invention.

          Figure 4 is a diagram illustrating a block diagram of the authentication identifier generator shown in Figure 1A according to one embodiment of the invention.

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Figure 5 is a flowchart illustrating a process to generate a digital signature according to one embodiment of the invention.

Figure 6 is a flowchart illustrating the process shown in Block 540 of Figure 5 according to one embodiment of the invention.

Figure 7 is a flowchart illustrating a process to generate an authentication identifier according to one embodiment of the invention.

#### DETAILED DESCRIPTION

10 In the following description, for purposes of explanation, numerous details are set forth in order to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that these specific details are not required in order to practice the present invention. In other instances, well-known electrical structures and circuits are shown in block  
15 diagram form in order not to obscure the present invention.

In the following description, terminology is used to discuss certain features of the present invention. For example, a "platform" includes hardware equipment and/or software that process information. Examples of a platform include, but are not limited or restricted to a computer (e.g., a desktop, a laptop,  
20 a hand-held, a server, a workstation, etc.), desktop office equipment (e.g., printer, scanner, a facsimile machine, etc.), a wireless telephone handset, a television set-top box, and the like. The term "information" is defined as one or more of data, address, and/or control.

With respect to cryptographic functionality, a key is information used by  
25 a cryptographic function to perform a particular operation such as encryption or

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The second platform 100C is a platform on which the digital signature 105 and data 102 are generated. The second platform 100C is typically used or accessed by a user who desires to generate the digital signature 105 to prove the origin and integrity of data 102. The data 102 may be numbers, a text file, a program, a picture file, an audio file, a media file, an electronic mail (e-mail), a hyper-text markup language (HTML) page, document, etc.

In one common usage, the data 102 is a unique message supplied by a provider of digital content or software licensed only for use on the specific second platform 100C. The second platform 100C generates the digital signature 105 of the supplied data to prove the identity of the platform to a digital content or software provider.

The digital signature 105 can be verified using the authentication signature verifier 104. Verification is performed using the public key 103 according to public-key based digital signature verification techniques that are well known in the art. The verification of the digital signature 105 may be performed inside or outside the second platform 100C.

The authentication identifier generator 130 generates both (i) the authentication identifier 225 to be used by the protected authentication signature generator 230 and (ii) a corresponding public key 103 to be used by the authentication signature verifier 104. The authentication identifier generator 130 is external to the second platform 100C and is provided by an authentication vendor. The generation of the authentication identifier 225 is shown in Figure 4. The second platform 100C receives the authentication identifier 225 and uses the digital signature system 120 to generate the digital

signature 105. The digital signature system 120 may be implemented inside or outside of the second platform 100C.

The authentication identifier generator 130 and the protected authentication signature generator 230 may be implemented by hardware, software, or any combination thereof.

Figure 1B is a diagram illustrating a platform in which one embodiment of the present invention can be practiced. The first platform 100B or the second platform 100C includes a processor 101, a host bus 111, a host bridge chipset 121, a system memory 132, a primary peripheral component interconnect (PCI) bus 151, PCI slots 161<sub>1</sub> to 161<sub>K</sub> ("K"  $\geq 2$ ), a PCI-to-industry standard architecture (ISA) bridge 172, mass storage device 173, Input/Output (I/O) ports 171, an ISA bus 182, and ISA slots 181<sub>1</sub> to 181<sub>M</sub> ("M"  $\geq 2$ ).

The processor 101 represents a processing unit of any type of architecture. For example, the processor 101 may be implemented as a microcontroller, a digital signal processor, a state machine, or a central processing unit (CPU). The CPU may be implemented with a variety of architecture types such as complex instruction set computers (CISC), reduced instruction set computers (RISC), very long instruction word (VLIW), or hybrid architecture.

The host bridge chipset 121 includes a number of interface circuits to allow the processor 101 access to the system memory 132 and the primary PCI bus 151. The system memory 132 represents one or more mechanisms for storing information. For example, the system memory 132 may include non-volatile or volatile memories. Examples of these memories include flash

implement the authentication identifier generator 130 and other programs or data. In the platform 100C, the system memory may contain a program that

10           The PCI slots 1611 to 161K provide interfaces to PCI devices.

Examples of PCI devices include the network interface and the media interface.

The network interface connects to communication channel such as the Internet.

The Internet provides access to on-line service providers, Web browsers, and

other network channels. The media interface provides access to audio and

15   video devices.

20 graphics), a network card and the like. The mass storage device 173 includes a machine readable media such as a compact disk (CD) ROM, a digital video disk (DVD), ZIP™ disk, floppy diskette, hard drive, and the like. The mass storage device 173 stores archive information such as code, programs, files,

and operating systems. The mass storage device 173 provides a mechanism to read the machine-readable media.

When implemented in software, the elements of the present invention are the code segments to perform the necessary tasks. The program or code segments can be stored in a processor readable medium or transmitted by a computer data signal embodied in a carrier wave, or a signal modulated by a carrier, over a transmission medium. The "processor readable medium" may include any medium that can store or transfer information. Examples of the processor readable medium include an electronic circuit, a semiconductor memory device, a ROM, a flash memory, an erasable programmable ROM (EPROM), a floppy diskette, a CD-ROM, an optical disk, a hard disk, a fiber optical medium, a radio frequency (RF) link, etc. The computer data signal may include any signal that can propagate over a transmission medium such as electronic network channels, optical fibers, air, electromagnetic, RF links, etc. The code segments may be downloaded via computer networks such as the Internet, an Intranet, etc. The ISA bus 182 has a number of ISA slots 181<sub>1</sub> to 181<sub>M</sub> to interface to ISA devices. Examples of ISA devices include data entry devices (e.g., keyboard, mouse, trackball, pointing device), printers, etc.

The protected authentication signature generator 230 may be stored in ROM to guard against attacks that forge a digital signature of a different platform. The protected authentication signature generator 230 may be retrieved from a processor readable medium or transmission medium. In this case, it is common to use a digital signature of the program or code segments to guard against alteration attacks. Such digital signature techniques are well known in the art.

Figure 2 is a diagram illustrating a block diagram of the digital signature system 120 according to one embodiment of the invention. The digital signature system 120 includes a first storage 210, a second storage 220, and a protected authentication signature generator 230. The protected authentication signature generator 230 signs the data 102 to generate digital signature 105 using the unique platform identifier 215 and the authentication identifier 225.

The first storage 210 guards against the unique platform identifier 215 being easily altered. In one embodiment, the unique platform identifier 215 is a platform identifier (ID) "Universal Unique Identifier" (UUID) or "Globally Unique Identifier" (GUID) retrieved from a System Management Basic Input/Output System (SMBIOS) table. Techniques for generating such unique identifiers, storing them in an SMBIOS table, and guarding them against alteration are well known in the industry.

In another embodiment, the unique platform identifier 215 is a unique processor serial number retrieved using a special processor instruction. The processor guards against its internal serial number being altered. Any digital platform identifier can be used as long as it is unique and guarded against alteration.

The second storage 220 stores the authentication identifier 225. Unique to the second platform 100C, the authentication identifier 225 is provided by the authentication vendor and is installed to the platform 100C (shown in Figure 4). Since the authentication identifier 225 may be dynamically installed in the platform 100C after the platform is manufactured, it is likely that the second storage 220 is not guarded or cannot be guarded against being altered.

The protected authentication signature generator 230 is used to prove the originator (e.g., platform) and integrity of a message. The protected

Referring back to Figure 1A, the authentication signature verifier 104 completes the authentication cycle by verifying that data is unaltered compared to the data from which the digital signature is computed and that the digital signature 105 is generated by the correct platform 100C. The authentication signature verifier 104 takes as input the data 102, the digital signature 105, and the public key 103. The authentication signature verifier 104 generates as output an information bit signifying whether or not the data matches the original data and the digital signature is generated using the corresponding private key.

Figure 3 is a diagram illustrating the protected authentication signature generator 230 shown in Figure 2 according to one embodiment of the invention. The protected authentication signature generator 230 includes a platform-specific transformer 310, a decryptor 320, and a signer 330.

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The platform-specific transformer 310 further includes a reporting device 340 to report the unique platform identifier 215 that is used in the transformation. The reporting device 340 generates an additional output from the protected authentication signature generator 230. The additional output is referred to as the "tracked platform identifier" 216, which may be simply a copy of the unique platform identifier 215. For example, this may be used to detect attacks based on forging or altering the platform's unique platform identifier 215. If the same tracked platform identifier 216 is detected from several different platforms, a forgery may be detected.

10 The decryptor 320 decrypts the encrypted platform private key 315 to generate a "clear" copy of the platform private key 335. The decryption is an asymmetric decryption performed using an authentication identifier generator's public key 325 embedded in the protected authentication signature generator 230. The authentication identifier generator's public key 325 is provided to the platform 100C by the vendor of the authentication identifier 225 (e.g., authentication vendor). The authentication identifier generator's public key 325 is a "public" key of the asymmetric encryption public/private key pair used by the authentication vendor.

20 The inclusion of the decryptor 320 in the protected authentication signature generator 230 provides protection against attacks based on reverse-engineering the protected authentication signature generator 230. Without the decryptor 320, a skilled attacker could use the reverse of the transformation by the platform-specific transformer 310 transformation to compute and then install the authentication identifier 225 that would be suitably transformed into a desired platform private key 335. With the decryptor 320 present, the attacker

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would have to break the encryption algorithm or the private key corresponding to the authentication identifier generator's public key 325. Since the security of an algorithm rests in the key, it is important that the authentication identifier generator's public key 325 and its corresponding private key be generated  
5 using a strong cryptographic process.

The protected authentication signature generator 230 may be exposed to reverse-engineering. In this case, security of the invention depends on how resistant the protected authentication signature generator 230 and the unique platform identifier 215 are to duplication and modification. In other cases, the  
10 protected authentication signature generator 230 is protected against reverse-engineering. In these cases the authentication identifier generator's public key 325 and the platform private key 335 are secret. This provides protection against an attacker being able to build an alternate device or software module that can produce equivalent signatures.

15 The signer 330 generates a digital signature 105 of the data 102 using the platform private key 335. The platform private key 335 is the "private" member of an asymmetric public/private key pair to be used for generation and verification of digital signatures using any of a variety of algorithms. Example algorithms include ElGama, Schnorr and Digital Signature Algorithms schemes  
20 just to name a few. However, it is not required that these keys also be usable for bulk data encryption and decryption.

The unique platform identifier 215 is a permanent identifier, and may be generated and stored at the time of manufacturing or the initial system boot of the platform 100C. For example, the unique platform identifier 215 may be  
25 programmed into fuses of a system ROM. The authentication identifier 225 is

provided by the authentication vendor and may be stored at the time of manufacturing or an initial first system boot. However, the present invention allows the authentication identifier 225 to be stored later in the lifetime of the system, and possibly altered if desired. In general, this means that the authentication identifier 225 will be stored in a place where someone could read it and copy it to another platform. However, the copied authentication identifier 225 will not be usable on another platform other than the platform 100C. The platform-specific transformer 310 on the another platform transforms the copied authentication identifier 225 to a different encrypted platform private key 315, the decryptor 320 decrypts it to an invalid platform private key 335, and the signer 330 will either generate an incorrect digital signature 105 or refuse to perform the operation at all depending on its design.

Figure 4 is a diagram illustrating the authentication identifier generator 130 shown in Figure 1A according to one embodiment of the invention. The authentication identifier generator 130 includes an encryptor 410 and a platform-specific reverse transformer 420.

The platform private key 335 and the corresponding platform public key 103 may be generated by the user (e.g., purchaser) and the platform private key 335 is then supplied to the authentication vendor. The platform private key 335 and the corresponding platform public key 103 may also be generated by the authentication vendor and the platform public key 103 is then supplied to the user.

The encryptor 410 encrypts the platform private key 335 to generate an encrypted platform private key 315 using an authentication identifier generator's private key 415 owned by the authentication vendor. The encryptor 410

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The process 500 generates a digital signature using the unique platform identifier and the authentication identifier (Block 540). The signed data is a digital code that is the output result of the process 500. Then the process 500 is terminated.

5           Figure 6 is a flowchart illustrating the process generating the digital signature of process 500 according to one embodiment of the invention.

          Upon START, the process 540 transforms the authentication identifier and the platform identifier to generate an encrypted platform private key (Block 610). The transformation is a reversible transformation that uses the unique  
10   platform identifier to make the transformation platform-specific. Next, the process 540 decrypts the encrypted platform private key using the using an authentication identifier generator's public key, producing a platform private key (Block 620). Then, the process 540 generates a digital signature for the data using the platform private key (Block 630) according to digital signature  
15   generation algorithms. Then the process 540 is terminated.

          Figure 7 is a flowchart illustrating a process 700 to generate an authentication identifier according to one embodiment of the invention.

          Upon START, the process 700 obtains a platform private key (Block 710). Then, the process 700 obtains an authentication identifier generator's  
20   private key (Block 720). Next, the process 700 encrypts the platform private to generate an encrypted platform private key using the authentication identifier generator's private key (Block 730). The process 700 obtains a unique platform identifier from the platform (Block 740). Then the process 700 transforms the encrypted platform private key to generate an authentication identifier (Block  
25   750). The transformation is made platform-specific by the use of the unique

platform identifier, and is the reverse of the transformation of Block 610. Then the process 700 is terminated.

While this invention has been described with reference to illustrative  
5 embodiment, this description is not intended to be construed in a limiting sense. Various modifications of the illustrative embodiments, as well as other embodiments of the invention, which are apparent to persons skilled in the art to which the invention pertains are deemed to lie within the spirit and scope of the invention.

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